

FINANCIAL SERVICES GUIDE
SELL MY SHARES PTY LTD
Effective 27th January 2026

1. About This Financial Services Guide

This Financial Services Guide (“**FSG**”) is issued by Sell My Shares Pty Ltd (ABN 81 653 391 943) Australian Financial Services Representative Number 001293396). Throughout this FSG, Sell My Shares Pty Ltd will be referred to as “**we**”, “**us**” or “**our**”.

This FSG is designed to help you decide whether to use our services. It contains information about:

- the financial services we are authorised to provide
- how we are remunerated and the fees you may be charged
- our relationships with other service providers
- how we manage complaints and disputes

You should read this FSG before deciding to use our services.

2. Our Contact Details

Suite 2 Level 4
66 Kings Park Road
West Perth WA 6005

Phone: (08) 6336 0160
Email: perth@sellmyshares.com.au
Website: www.sellmyshares.com.au

You may provide instructions to us via our website, by telephone, by post, or in person.

3. Our Licence and Authorisation

Sell My Shares is a Corporate Authorised Representative of Sequoia Wealth Management Pty Ltd (ABN 22 002 314 310), holder of Australian Financial Services Licence Number 472387.

Sequoia Wealth Management Pty Ltd

Suite 7.01, Level 7
1 Castlereagh Street
Sydney NSW 2000

Phones: 02 8114 2288 / 1300 130 545 (Free Call)
Email: info@sequoiawm.com.au

Sequoia Wealth Management Pty Ltd has authorised us to distribute this FSG.

Sell My Shares Pty Ltd is a wholly owned subsidiary of DigitalX Limited (ASX: DCC). Clients should be aware of this corporate relationship. DigitalX Limited does not hold an AFSL and is not a party to the financial services provided under this FSG. All financial services are provided by Sell My Shares Pty Ltd acting as a Corporate Authorised Representative of Sequoia Wealth Management Pty Ltd.

4. Financial Services We Are Authorised to Provide

Under Sequoia Wealth Management's AFSL, we are authorised to provide the following financial services to retail and wholesale clients:

- dealing in a financial product on behalf of another person, limited to:
 - applying for, acquiring, varying or disposing of securities

Our services are transactional only and limited to facilitating the sale of shares.

We do not:

- provide personal advice
- provide general financial product advice
- provide tax or legal advice
- manage portfolios
- provide margin lending or derivative products
- maintain ongoing client accounts after a transaction is completed

Because we provide execution-only dealing services and do not provide personal financial product advice, we will not give you a Statement of Advice (SOA). If you require personal financial advice about whether to sell your shares, you should consult a licensed financial adviser.

5. Nature of Our Services

Sell My Shares operates a specialised sell-only, one-off share sale service, primarily for issuer-sponsored (SRN) holdings.

Share prices fluctuate. The price at which your shares are sold will be the prevailing market price at the time of execution and may be higher or lower than any price you may have seen previously. We do not guarantee the execution price.

Our services include:

- facilitating one-off sales of listed Australian securities
- assisting with complex ownership structures (including companies, trusts, deceased estates, powers of attorney)
- facilitating express sale services where available
- conducting SRN (Securityholder Reference Number) searches under section 672B of the Corporations Act 2001

- conducting electronic identity verification and AML/CTF checks using third-party providers (including GreenID)
- verifying bank account details using third-party payment verification services (including Zepto Validate)

All trades are executed through our appointed executing broker.

6. Client Identification and Verification

To comply with our legal obligations, including anti-money laundering and counter-terrorism financing (AML/CTF) requirements, we are required to verify your identity before providing our services.

We may use electronic identity verification services provided by third parties, including GreenID (a service provided by GBG ANZ Pty Ltd), to verify your identity against independent data sources.

This process may include:

- verification against government and commercial databases
- document verification (e.g. driver licence, passport)
- screening against sanctions, politically exposed persons (PEP) and adverse media lists

By using our services, you consent to us collecting, using and disclosing your personal information for the purpose of identity verification.

Where electronic verification is unsuccessful, we may request certified identification documents.

7. Bank Account Verification

We may use third-party payment verification services, including Zepto Validate, to confirm that the bank account details you provide match the account name held by your financial institution.

This service assists in reducing fraud and payment errors but:

- does not guarantee that a bank account is valid or controlled by you
- relies on data provided by third-party financial institutions
- may be unavailable from time to time due to external system outages

8. Dividend Handling

From time to time, dividends or other cash entitlements may be received in respect of securities processed through our service. Where the value of a dividend or entitlement is below a de-minimis threshold (currently approximately \$100), we may determine that it is not economical or practical to process or distribute that amount due to administrative costs. In

such circumstances, the dividend or entitlement may be retained and not paid out to the client.

Any amounts retained in these circumstances will be remitted to the relevant state unclaimed monies register. You may be able to reclaim such amounts through ASIC's MoneySmart unclaimed money service (moneysmart.gov.au). This policy is subject to ongoing review to ensure compliance with applicable client money obligations.

9. Executing Broker

When you sell securities through us, your transaction is executed by Morrison Securities Pty Ltd under its AFSL.

We deduct our fees and charges from the sale proceeds and remit the balance to you. A portion of the brokerage is paid to Morrison Securities as the executing broker.

10. Fees and Charges

All fees listed below are exclusive of GST and are deducted from sale proceeds (no upfront payment is required).

Share Sale Brokerage

Service	Brokerage
DIY Postal Service	0.75% of trade value (minimum \$75) + GST
Value Service	1.0% of trade value (minimum \$110) + GST
Express 'Same Day Sale' Service	1.5% of trade value (minimum \$150) + GST

*Note: Express 'Same Day Sale' does not include same-day settlement.
Order cut-off time is 2:45pm Sydney time (AEST/AEDT).
We make no guarantee that orders will be accepted or executed on the same day.*

Additional Surcharges

Certain ownership structures require additional verification and administration. An additional fee of \$50 + GST or 0.50% of the trade value (whichever is greater) applies where shares are held by:

- companies
- trusts (including SMSFs)
- deceased estates
- powers of attorney

This fee is applied per shareholding and deducted from sale proceeds.

International Sales

Where you are a non-Australian citizen, or an Australian citizen residing overseas, a brokerage fee of \$250 + GST or 2.5% of the trade value + GST (whichever is greater) applies.

11. SRN (12A) Search Service

We offer an SRN search service under section 672B of the Corporations Act 2001, which allows a verified shareholder to obtain their Securityholder Reference Number from the relevant share registry.

SRN Search Fees

Service Type	Fee
Standard SRN Search	\$55 + GST
Enhanced SRN Search	\$95 + GST

The higher fee applies where a second registry search is required due to address variation, historical records, or multiple holdings. This is not an enhanced identity or address verification service.

SRN Search Process

- Identity verification is completed in accordance with AML/CTF requirements
- We lodge a section 672B request with the relevant share registry
- The SRN is securely provided to the verified shareholder

This service does not involve trading or advice and is limited to SRN retrieval only.

12. Remuneration and Benefits

We are remunerated solely through the fees described in this FSG.

We do not receive commissions, volume bonuses, or other benefits from product issuers.

Our staff are salaried and short term and long term incentive structures are awarded when applicable.

13. Professional Indemnity Insurance

Sequoia Wealth Management Pty Ltd maintains professional indemnity insurance that satisfies the requirements of s912B of the Corporations Act. This insurance covers claims arising from services provided by authorised representatives, including Sell My Shares Pty Ltd.

14. Complaints and Dispute Resolution

If you have a complaint about our services, please contact us in the first instance. We will investigate your complaint promptly and fairly.

We will provide you with a written response to your complaint within 30 calendar days of receiving it, in accordance with ASIC's internal dispute resolution (IDR) standards under Regulatory Guide 271. If we are unable to resolve your complaint within 30 days, we will contact you to explain the reason for the delay and provide an expected resolution date.

If you are not satisfied with our response, you may escalate your complaint to:

The Compliance Manager
Sequoia Wealth Management Pty Ltd
Suite 7.01, Level 7
1 Castlereagh Street
Sydney NSW 2000
Email: compliance@sequoia.com.au

Your complaint and its outcome will then be reviewed by Sequoia Wealth Management Pty Ltd as a second-tier internal escalation, in accordance with its internal dispute resolution processes.

If you remain dissatisfied after completing the internal dispute resolution process, or if 30 days passed without resolution, you may lodge a complaint with Australia's approved external dispute resolution scheme:

Australian Financial Complaints Authority (AFCA)
Phone: 1800 931 678
Email: info@afca.org.au
Website: www.afca.org.au
Mail: GPO Box 3, Melbourne VIC 3001

AFCA provides a free and independent dispute resolution service.

15. Privacy

We collect, use and store personal information in accordance with the Privacy Act 1988 and the Australian Privacy Principles.

We may disclose your personal information to third-party service providers for the purpose of delivering our services, including:

- identity verification providers (e.g. GreenID)
- payment and bank account verification providers (e.g. Zepto Validate)
- share registries and executing brokers

These providers may use and store your information in accordance with their own privacy policies.

Our Privacy Policy is available on our website at www.sellmyshares.com.au/privacy-policy. Some of our third-party service providers may store or process your information outside Australia. By using our services, you consent to this cross-border disclosure in accordance with Australian Privacy Principle 8.

16. Further Information

If you would like further information about our services, fees, or this Financial Services Guide, please contact us using the details above.