

**FINANCIAL SERVICES GUIDE**  
**SELL MY SHARES PTY LTD**  
**Effective 27<sup>th</sup> January 2026**

**1. About This Financial Services Guide**

This Financial Services Guide (“**FSG**”) is issued by Sell My Shares Pty Ltd (ABN 81 653 391 943) Australian Financial Services Representative Number 001293396). Throughout this FSG, Sell My Shares Pty Ltd will be referred to as “**we**”, “**us**” or “**our**”.

This FSG is designed to help you decide whether to use our services. It contains information about:

- the financial services we are authorised to provide
- how we are remunerated and the fees you may be charged
- our relationships with other service providers
- how we manage complaints and disputes

You should read this FSG before deciding to use our services.

**2. Our Contact Details**

Suite 2 Level 4  
66 Kings Park Road  
West Perth WA 6005

Phone: (08) 6336 0160  
Email: [perth@sellmyshares.com.au](mailto:perth@sellmyshares.com.au)  
Website: [www.sellmyshares.com.au](http://www.sellmyshares.com.au)

You may provide instructions to us via our website, by telephone, by post, or in person.

**3. Our Licence and Authorisation**

Sell My Shares is a Corporate Authorised Representative of Sequoia Wealth Management Pty Ltd (ABN 22 002 314 310), holder of Australian Financial Services Licence Number 472387.

Sequoia Wealth Management Pty Ltd

Suite 7.01, Level 7  
1 Castlereagh Street  
Sydney NSW 2000

Phones: 02 8114 2288 / 1300 130 545 (Free Call)  
Email: [info@sequoiawm.com.au](mailto:info@sequoiawm.com.au)

Sequoia Wealth Management Pty Ltd has authorised us to distribute this FSG.

#### **4. Financial Services We Are Authorised to Provide**

Under Sequoia Wealth Management's AFSL, we are authorised to provide the following financial services to retail and wholesale clients:

- dealing in a financial product on behalf of another person, limited to:
  - applying for, acquiring, varying or disposing of securities

Our services are transactional only and limited to facilitating the sale of shares.

We do not:

- provide personal advice
- provide general financial product advice
- provide tax or legal advice
- manage portfolios
- provide margin lending or derivative products
- maintain ongoing client accounts after a transaction is completed

#### **5. Nature of Our Services**

Sell My Shares operates a specialised sell-only, one-off share sale service, primarily for issuer-sponsored (SRN) holdings.

Our services include:

- facilitating one-off sales of listed Australian securities
- assisting with complex ownership structures (including companies, trusts, deceased estates, powers of attorney)
- facilitating express sale services where available
- conducting SRN (Securityholder Reference Number) searches under section 672B of the Corporations Act 2001

All trades are executed through our appointed executing broker.

#### **6. Dividend Handling**

From time to time, dividends or other cash entitlements may be received in respect of securities processed through our service. Where the value of a dividend or entitlement is below a de-minimis threshold (currently approximately \$100), we may determine that it is not economical or practical to process or distribute that amount due to administrative costs. In such circumstances, the dividend or entitlement may be retained and not paid out to the client.

#### **7. Executing Broker**

When you sell securities through us, your transaction is executed by Morrison Securities Pty Ltd under its AFSL.

We deduct our fees and charges from the sale proceeds and remit the balance to you. A portion of the brokerage is paid to Morrison Securities as the executing broker.

## 8. Fees and Charges

All fees listed below are exclusive of GST and are deducted from sale proceeds (no upfront payment is required).

### Share Sale Brokerage

Service	Brokerage
DIY Postal Service	0.75% of trade value (minimum \$75) + GST
Value Service	1.0% of trade value (minimum \$110) + GST
Express 'Same Day Sale' Service	1.5% of trade value (minimum \$150) + GST
Same-Day Settlement (where available)	Additional 2.5% of trade value + GST

*Note: Express 'Same Day Sale' does not include same-day settlement.  
Order cut-off time is 2:45pm AEST.  
We make no guarantee that orders will be accepted or executed on the same day.*

### Additional Surcharges

Certain ownership structures require additional verification and administration. An additional fee of \$50 + GST or 0.50% of the trade value (whichever is greater) applies where shares are held by:

- companies
- trusts (including SMSFs)
- deceased estates
- powers of attorney

This fee is applied per shareholding and deducted from sale proceeds.

### International Sales

Where you are a non-Australian citizen, or an Australian citizen residing overseas, a brokerage fee of \$250 + GST or 2.5% of the trade value + GST (whichever is greater) applies.

## 9. SRN (12A) Search Service

We offer an SRN search service under section 672B of the Corporations Act 2001, which allows a verified shareholder to obtain their Securityholder Reference Number from the relevant share registry.

### SRN Search Fees

Service Type	Fee
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<b>Standard SRN Search</b>	\$55 + GST
<b>Enhanced SRN Search</b>	\$95 + GST

The higher fee applies where a second registry search is required due to address variation, historical records, or multiple holdings. This is not an enhanced identity or address verification service.

### **SRN Search Process**

- Identity verification is completed in accordance with AML/CTF requirements
- We lodge a section 672B request with the relevant share registry
- The SRN is securely provided to the verified shareholder

This service does not involve trading or advice and is limited to SRN retrieval only.

### **10. Remuneration and Benefits**

We are remunerated solely through the fees described in this FSG.

We do not receive commissions, volume bonuses, or other benefits from product issuers.

Our staff are salaried and do not receive incentives linked to transaction volume.

### **11. Professional Indemnity Insurance**

Sequoia Wealth Management Pty Ltd maintains professional indemnity insurance that satisfies the requirements of s912B of the Corporations Act. This insurance covers claims arising from services provided by authorised representatives, including Sell My Shares Pty Ltd.

### **12. Complaints and Dispute Resolution**

If you have a complaint about our services, please contact us in the first instance. We will investigate your complaint promptly and fairly.

If you are not satisfied with our response, you may escalate your complaint to:

The Compliance Manager  
Sequoia Wealth Management Pty Ltd  
Suite 7.01, Level 7  
1 Castlereagh Street  
Sydney NSW 2000  
Email: [compliance@sequoia.com.au](mailto:compliance@sequoia.com.au)

Your complaint and its outcome will then be reviewed by Sequoia Wealth Management Pty Ltd in accordance with its external dispute resolution processes.

If you remain dissatisfied after Sequoia Wealth Management Pty Ltd internal dispute resolution process, you may lodge a complaint with:

Australian Financial Complaints Authority (AFCA)  
Phone: 1800 931 678  
Email: [info@afca.org.au](mailto:info@afca.org.au)  
Website: [www.afca.org.au](http://www.afca.org.au)  
Mail: GPO Box 3, Melbourne VIC 3001

AFCA provides a free and independent dispute resolution service.

**13. Privacy**

We collect, use and store personal information in accordance with the Privacy Act 1988 and the Australian Privacy Principles. Our Privacy Policy is available on our website.

**14. Further Information**

If you would like further information about our services, fees, or this Financial Services Guide, please contact us using the details above.