

FINANCIAL SERVICES GUIDE

SELL MY SHARES PTY LTD

Effective 1 OCTOBER 2023

1. This Financial Services Guide (“**FSG**”) is issued by Sell My Shares Pty Ltd (ABN 81 653 391 943) Australian Financial Services Representative Number 001293396). In this FSG, Sell My Shares Pty Ltd will be referred to as “**we**”, “**us**” or “**our**”.
2. Our contact details are as follows:
Suite 2 Level 4/66 Kings Park Road
West Perth WA 6005
T: (08) 6336 0160
E: perth@sellmyshares.com.au
3. This FSG has been given to you so as to inform you about our products and services and the fees that we may charge. It is designed to assist you in deciding whether to use any of the services that we offer. This FSG contains information about remuneration that may be paid to us and to any other relevant persons in relation to the services that we offer. It also contains information about how complaints about us are dealt with.
4. You may provide instructions to us:
 - (1) by way of our website;
 - (2) by way of a telephone conversation;
 - (3) by post; or
 - (4) in person.
5. We are a Corporate Authorised Representative of Sequoia Wealth Management Pty Ltd (Australian Financial Services Licence Number 472387). The contact details of Sequoia Wealth Management Pty Ltd (SWM) are:

Level 7, 7-15 Macquarie Place
Sydney NSW 2000
02 8114 2288
1300 130 545 (Free Call)
E: info@sequoiawm.com.au

Sequoia Wealth Management Pty Ltd has authorised us to distribute this FSG.

Lack of Independence

To avoid the potential for any conflict of interest, SWM, although not a subsidiary of, nor controlled by any Bank or insurer states that it is not independent, impartial, or unbiased.

SWM is a subsidiary of the Sequoia Financial Group, ASX Code SEQ.

6. We are authorised by Sequoia Wealth Management Pty Ltd to provide the following financial services on its behalf:

deal in a financial product by:

(i) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products: (I) securities;

to retail and wholesale clients.

7. If you are dissatisfied with any aspect of the services that have been provided to you please contact us and your complaint will be dealt with in accordance with our internal dispute resolution procedures. If you are dissatisfied with the outcome of your complaint please contact:

The Compliance Manager
Sequoia Wealth Management Pty Ltd
Level 7, 7-15 Macquarie Place
Sydney NSW 2000
E: compliance@sequoia.com.au

Your complaint and its outcome will then be reviewed by Sequoia Wealth Management Pty Ltd in accordance with its external dispute resolution processes.

If you are dissatisfied with the outcome of our and Sequoia Wealth Management Pty Ltd internal dispute resolution procedures you may make a complaint to the Australian Financial Complaints Authority. Its contact details are as follows:

T: 1800 931 678
W: www.afca.org.au
E: info@afca.org.au
Mail: GPO Box 3, Melbourne VIC 3001

8. Sequoia Wealth Management Pty Ltd holds professional indemnity insurance which satisfies the requirements for compensation arrangements under s912B of the Corporations Act.
9. When you sell securities through us, your order will be executed by Morrison Securities Pty Limited. We will deduct our fees and charges and forward the remainder to you. Part of the

amount that is deducted by us will be paid to Morrison Securities. The total fee that will be paid by you is as follows:

- (1) if you use our D.I.Y Postal Service you will pay 0.5% of the trade value plus GST with a minimum \$50 brokerage fee plus GST;
- (2) if you use our Value Service you will pay 1% of the trade value plus GST with a minimum brokerage fee of \$110 plus GST;
- (3) if you use our Express Service you will pay 1.5% of the trade value plus GST with a minimum brokerage fee of \$150 plus GST; and
- (4) if you use the Same Day Payment/Settlement Service you will pay an additional 2.5% of the trade value plus GST.

Where shares are held by a company, trust, self-managed superannuation fund or a deceased estate an additional brokerage fee of \$55 (including GST) or 0.55% of the trade value plus GST, whichever is the greater, will apply.

Where you are a citizen of a country other than Australia or you are an Australian citizen but live overseas a further brokerage fee of \$55 (including GST) or 0.55% of the trade value plus GST, whichever is the greater, will apply.